

Message Text

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TO SECSTATE WASHDC PRIORITY 6174

INFO AMEMBASSY BELGRADE

USMISSION BERLIN

AMEMBASSY BONN

AMEMBASSY BUCHAREST

AMEMBASSY BUDAPEST

AMCONSUL LENINGRAD

AMCONSUL MUNICH

AMEMBASSY PRAGUE

AMEMBASSY SOFIA

AMEMBASSY WARSAW

USMISSION GENEVA

C O N F I D E N T I A L MOSCOW 2221

GENEVA FOR USDEL CSCE

E.O. 11652: GDS

TAGS: PFOR PINT UR

SUBJECT: PRELIMINARY ASSESSMENT OF SOLZHENITSYN EXPULSION

1. SUMMARY: ONCE THE REGIME DECIDED TO MOVE AGAINST SOLZHENITSYN, HE WAS WHISKED TO GERMANY WITH RAPIDITY AND FINESSE. THE LEADERSHIP PRESUMABLY WEIGHED CAREFULLY THE POSSIBLE SOLUTIONS OF ITS DILEMMA AND, AS EXPECTED, OPTED FOR DEPORTATION AS THE MOST EFFECTIVE AND LEAST COSTLY OVER THE LONG RUN. SOLZHENITSYN WAS SEEN AS BENT ON MARTYRDOM, WHICH MADE IT IMPOSSIBLE FROM THE REGIME'S POINT OF VIEW TO IGNORE HIM. AND FROM THAT POINT OF VIEW, DEPORTATION WAS SEEN BOTH AS A SERIOUS PUNISH-
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MENT YET ONE WHICH--NOT BEING PHYSICAL--WAS MORE HUMANE,

AND THUS LIKELY TO CAUSE LESS TROUBLE FOR THE REGIME'S DETENTE POLICY THAN WOULD JAIL OR INTERNAL EXILE. THE CONCLUSIONS WHICH CAN BE DRAWN ARE NOT NEW: THE REGIME STILL ATTACHES HIGH PRIORITY TO BOTH INTERNAL SECURITY AND DETENTE, AND IS WILLING TO SHAPE ITS TACTICS IN PURSUING THE FORMER FOR THE SAKE OF ADVANCING THE LATTER. THERE MAY BE SHADES OF OPINION IN THE REGIME ON THE RELATIVE PRIORITIES, BUT THIS CASE OFFERS NO EVIDENCE THAT THERE IS A SERIOUS SPLIT. END SUMMARY

2. THE SOLZHENITSYN EXPULSION ILLUSTRATES THAT THE REGIME CAN NO LONGER TREAT INTERNAL SECURITY QUESTIONS IN THE LIMITED CONTEXT OF DOMESTIC POLICY ISOLATED FROM FOREIGN POLICY INITIATIVES. INTERNAL SECURITY NEVERTHELESS REMAINS AN IMPORTANT CONSIDERATION. WHILE IN WESTERN EYES THIS CONCERN MAY APPEAR TO BE EXAGGERATED, PARANOIA ABOUT INTERNAL SECURITY SEEMS DEEPLY INBEDDED IN THE MINDS OF THE SOVIET LEADERSHIP.

3. WEIGHING THE INTERNAL-EXTERNAL ARGUMENTS IN THE SOLZHENITSYN CASE, THE LEADERSHIP APPARENTLY WAS CONVINCED THAT WHAT SOVIET OFFICIALS VIEWED AS A PURSUIT OF MARTYRDOM MEANT THAT THEY SOONER OR LATER WOULD HAVE TO ACT AGAINST HIM. WHILE IT IS POSSIBLE THAT THE LEADERSHIP MAY HAVE MISJUDGED THE EXTENT OF WESTERN REACTION, IT SEEMS LIKELY THAT THEY WERE PREPARED TO ABSORB A CERTAIN AMOUNT OF CRITICISM. A SOLZHENITSYN ISSUING INCREASINGLY DAMAGING STATEMENTS TO THE WESTERN PRESS, RECEIVING HEAVY WORLD ATTENTION IN PART BECAUSE OF THE MOSCOW DATELINE, APPARENTLY COULD NOT BE COUNTENANCED. MOREOVER, THE REGIME WAS SOMEWHAT CAUGHT UP BY ITS OWN VILIFICATION CAMPAIGN AGAINST THE WRITER. IN CALLING ATTENTION TO HIM DOMESTICALLY, IT ALSO CALLED ATTENTION TO ITS OWN INACTION, IN EFFECT ADVERTISING TO OTHER DISSIDENTS THAT THEIR ACTIVITY MIGHT GO UNPUNISHED.

4. BUT WHILE SOME WESTERNERS WILL ASSESS SOVIET BEHAVIOR IN THE CASE AS CRUDE AND UNNECESSARY, THE SOLZHENITSYN EXPULSION WAS PROBABLY THE MOST PALATABLE ACTION THE REGIME--FROM ITS OWN POINT OF VIEW--COULD
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HAVE TAKEN, ONCE IT BECAME CONVINCED THAT THE WRITER'S ACTIVITIES COULD NOT SIMPLY BE IGNORED. A "DISAPPEARANCE," IMPRISONMENT, OR INTERNAL EXILE ALL WOULD HAVE SMACKED OF THE VERY INJUSTICES SOLZHENITSYN DESCRIBED IN HIS BOOK. THE LATTER TWO SOLUTIONS WOULD HAVE CAUSED NEW INTERNATIONAL PROTESTS WHILE PROBABLY NOT SILENCING HIM. A SOLZHENITSYN CRITICIZING THE REGIME FROM ABROAD CAN BE TREATED AS THE REGIME TREATS OTHER CRITICISM FROM ABROAD

(AND PERHAPS FROM AN INTERNAL STANDPOINT MORE BELIEVABLY)
AS PART OF THE "REACTIONARY" ATTEMPT TO WRECK DETENTE.

5. A MAJOR QUESTION REMAINS CONCERNING THE IMPLICATIONS FOR THE REMAINING SMALL GROUP OF SOVIET DISSIDENTS, WITH SAKHAROV THE MOST PROMINENT PERSONALITY INVOLVED. WE NOTE THAT DISSIDENT WRITER VLADIMIR MAKSIMOV, WHO HAS SIGNED STATEMENTS IN SUPPORT OF SAKHAROV AND SOLZHENITSYN, WAS RECENTLY GIVEN PERMISSION TO VISIT PARIS FOR ONE YEAR, AND THIS MAY INDICATE FURTHER REGIME WILLINGNESS TO RID ITSELF OF INTERNAL PROBLEMS BY TRANSFERRING DISSIDENTS TO FOREIGN SETTINGS WHERE IT IS HOPED THEIR PROMINENCE WILL EVAPORATE. MANY OTHER DISSIDENTS ARE NOT NEARLY AS STRONG AS SOLZHENITSYN IN THEIR DESIRE TO REMAIN IN THE COUNTRY. IN A LUNCHEON CONVERSATION WITH THE CHARGE FEBRUARY 14, USA INSTITUTE DIRECTOR ARBATOV HINTED THAT MORE EXPULSIONS MAY FOLLOW IN SAYING THAT EXPULSION IS A "SERIOUS PUNISHMENT" THAT IS "IN OUR TRADITION," REFERRING TO THE "LENIN PERIOD."

5. WHILE MANY LOCAL SOURCES HAVE EXPRESSED THE VIEW THAT THE HARASSMENT OF SOLZHENITSYN INDICATES AN EVEN MORE WIDESPREAD CRACKDOWN ON NON-CONFORMIST THOUGHT IN THE COUNTRY, SO LITTLE IS KNOWN OF ACTUAL REGIME INTENTIONS THAT FEELINGS ARE MIXED. THE SON OF A LATE, FAMOUS SOVIET AUTHOR, FOR INSTANCE, TOLD AN EMBASSY OFFICER ON THE DAY OF SOLZHENITSYN'S EXPULSION THAT THE REGIME WOULD NOW BE EVEN MORE SUSPICIOUS OF TALENTED PEOPLE IN THE CULTURAL WORLD. AFTER THINKING IT OVER, HOWEVER, HE CHANGED HIS MIND: "YOU KNOW THIS GOVERNMENT IS VERY STRANGE. NOW THAT SOLZHENITSYN IS GONE THINGS MAY EVEN BE BETTER FOR US" (I.E., THE REGIME MAY BE LESS NERVOUS).
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7. THE SUGGESTION BY SOME WESTERN COMMENTATORS THAT "HARDLINERS" OPPOSING DETENTE HAVE COME OUT ON TOP CANNOT BE SUPPORTED. WHILE THERE MAY BE DIFFERENCES WITHIN THE LEADERSHIP ON THE PRIORITY TO BE PLACED ON INTERNAL SECURITY WHEN IT CONFLICTS WITH DETENTE, OR PERHAPS ON HOW MUCH A GIVEN DISSIDENT'S ACTIONS THREATENS INTERNAL SECURITY, THEY SURELY WERE ALL ANXIOUS TO GET RID OF SOLZHENITSYN. AND THEIR SOLUTION HAS THE FLAVOR OF COMPROMISE AND OF CAREFUL CONSIDERATION OF ALTERNATIVES.

8. IN THE CONVERSATION NOTED ABOVE, ARBATOV EXPRESSED THE VIEW THAT FOREIGN POLICY CONSIDERATIONS WERE FULLY WEIGHED IN THE SOVIET DECISION. MOREOVER, HE CALLED THE ACTION AGAINST SOLZHENITSYN THE "LEAST DAMAGING"

OF THE POSSIBILITIES AVAILABLE--PROBABLY ANOTHER REFERENCE
TO THE FOREIGN POLICY CONSEQUENCES OF ACTION AGAINST HIM
AS WELL AS AN ATTEMPT TO PLAY UP THE "HUMANITARIAN"
ASPECTS OF THE SOVIET MOVE.

9. AS FAR AS MODALITIES ARE CONCERNED, FRG EMBASSY HERE
CONTINUES TO SAY IT WAS NOT INVOLVED EXCEPT THAT IT REALIZES,
IN RETROSPECT, THAT THE EIGHT INDIVIDUALS IT VISAED ON
FEBRUARY 8 FOR "INSPECTION OF THE SOVIET EMBASSY IN BONN"
WERE PROBABLY THE EIGHT WHO ACCOMPANIED SOLZHENITSYN ON
THE FLIGHT. THIS PROVIDES SOME INDICATION OF THE TIME
FRAME OF SOVIET PLANNING. INCIDENTALLY, JAPANESE EMBOFF
HERE TOLD US THAT HIS EMBASSY IN BONN HAD HEARD THAT
SOVIETS FIRST APPROACHED THE FRG ON FEBRUARY 5. AFTER
RECEIVING A GO-AHEAD FROM THE FRG, THEY REPORTEDLY INFORMED THE
FRG ON FEBRUARY 8 THAT SOLZHENITSYN WOULD BE COMING OUT
IN "A FEW DAYS."
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